## IAP15 Rec'd PCT/PTO 07 JUN 2006

## EV840170490US

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number,

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 205024-9003 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/017573 8 December 2003 26 November 2004 TITLE OF INVENTION OCK AND DATA RECOVERY CIRCUIT APPLICANT(S) FOR DO/EO/US WADA, Shigeki Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). XA copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

## IAP20 Rec'd PCT/PTO 07 JUN 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLIC	ATION N	IO. (if known	see 37 CEB 1.5)	INTERNATIONAL AF	PLICATION NO.	T	ATTORNEY'S DO	CKET NUMBER
	10.	1582	145	PCT/JP2004/0 <sup>-</sup>	17573	20	5024-9003	
		or information	on: JS Patent R	eferences				
The f	ollowing	fees have b	een submitted			CAI	LCULATIONS	PTO USE ONLY
21. X Basic national fee (37 CFR 1.492(a))\$				\$300	\$	300.00		
22. 💢 Ex	aminatio	n fee (37 CF	R 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations							200.00	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						\$	400.00	
TOTAL OF 21, 22 and 23 =							900.00	
sequer electro	thereof (round up			1.821(c) or (e) or computer p	RATE x \$250	-	0.00	
Surcharge of \$	130.00	or furnishing		ch fee, examination fee, or the ge (37 CFR 1.492(h)).		\$		
CLAIMS		NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		15	- 20 =	0	× \$50	\$	0.00	
Independent c	aims	3	- 3 =	0	x \$200	\$	0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$		
TOTAL OF ABOVE CALCULATIONS =							0.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							000.00	
Droposing for	of \$420	00 for fur-	hing the English	translation later than 20 man	SUBTOTAL =	\$	900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								
TOTAL NATIONAL FEE =							900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	40.00	
TOTAL FEES ENCLOSED =						\$	940.00	
*							ount to be inded:	\$
						<del></del>	ount to be	

0./582145

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. A check in the amount of \$	to cover the above fees is endosed CT/PTO 07 JUN 2006								
E	Please charge my Deposit Account No. 50-1965 in the amount of \$ 940.00 to cover the above fees.								
c. X The Commissioner is hereby authorized to charge any a Account No. 50-1965. A duplicate copy of this sheet	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1965. A duplicate copy of this sheet is enclosed.								
	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:	Lisa Childo 6/7/06 SIGNATURE								
CUSTOMER NO. 001131	Lisa C. Childs  NAME  39,937  REGISTRATION NUMBER								